



LawShelf Educational Media

Stocks and Stock Transfers

Course Description

The Stocks and Stock Transfers course explains what stocks are, how they are generated and registered, the rights and responsibilities that accompany stock ownership, and how stocks are transferred. It also covers the tax consequences of stock transfers.

Course Learning Outcomes

At the completion of this course, students will be able to:

- Describe the roles of stocks and stock instruments in establishing ownership of corporations;
- Delineate the rights of stockholders, such as the right to vote, to receive dividends, and to inspect the company's financial records;
- Explain the mechanisms by which stocks are transferred;
- Apply the tax rules that are relevant to stock ownership and transfer, both while living and upon death.

Suggested Prerequisite

Business Organizations

Final Exam

Grades and credit recommendations are based solely on a final exam. When students are ready to take the final exam, they should register for a LawShelf account (or simply log in, for those with existing accounts). Go to the video-course page and click "Take the Final Exam" and pay the exam fee, where applicable. Students will be given instructions on how to take the exam. All exams are proctored by the Voice Proctor® proctoring system. An overview of the system is [provided here](#) and it requires no training or learning curve. Grades of 70% or above are considered passing grades and qualify for recommended transfer credit.

The final exam is based on the video course modules. Additional resources detailed in this

syllabus are helpful for background and for building greater understanding of the course materials.

Inquiries can be made through the LawShelf Contact form on our website by clicking the “email us” button that appears at the bottom of most LawShelf pages. Transcripts can be ordered via Parchment, as detailed on our website.

Case Studies

Many LawShelf video courses include case studies. These do not necessarily teach new material but are meant to demonstrate how the materials covered in the course are applied by various courts. Concepts covered in the case studies are covered on the final exam; so watching the case studies is necessary before taking the exam. However, it is unnecessary to memorize the facts and details of the cases covered in these case studies.

Self-Quizzes

Video-course modules and case studies feature self-quizzes that consist of practice questions on the materials covered in the videos. These questions are presented for the benefit of the student and are **important to review before taking the final exam** because they are similar in style and substance to the questions on the final exam. These quizzes are not graded or monitored and there is no record of how students answer these questions. Students may answer these questions as many times as they like and are encouraged to keep re-taking the quizzes until they have mastered all the questions.

Study Guide

Introductory Video

These videos provide background to help students better understand the main parts of the course, which are the video-course modules below.

Liability of Corporate Shareholders

<https://lawshelf.com/shortvideoscontentview/shareholder-liability-piercing-the-corporate-veil>

Courseware Readings

These courseware readings provide background to help students better understand the main parts of the course, which are the video-course modules below.

The following sections of “Foundations of Law” courseware:

<https://lawshelf.com/coursewareview>

The following chapters under Business Law and Bankruptcy:

- **Rights and Roles of Shareholders**
 - [The Rights and Roles of the Shareholders](#)
 - [Voting by Proxy](#)
 - [Dividends](#)
 - [Appraisal Rights](#)
 - [Liability of Shareholders](#)
 - [The Derivative Suit](#)

Video Course Modules

These videos constitute the main part of the course and are they sources of the questions on the final exam.

Stocks and Stock Transfers Introduction

<https://lawshelf.com/videocoursescontentview/stocks-and-stock-transfer>

Overview of Stock Ownership - Module 1 of 5

<https://lawshelf.com/videocoursesmoduleview/overview-of-stock-ownership-module-1-of-5>

Stockholder's Rights - Module 2 of 5

<https://lawshelf.com/videocoursesmoduleview/stockholders-rights--module-2-of-5>

Stock Registration and Purchasing - Module 3 of 5

<https://lawshelf.com/videocoursesmoduleview/stocks-and-stock-transfers-module-3-of-5>

Stock Transfers - Module 4 of 5

<https://lawshelf.com/videocoursesmoduleview/stocks-and-stock-transfers-module-4-of-5>

Tax Implications - Module 5 of 5

<https://lawshelf.com/videocoursesmoduleview/tax-implications-of-the-disposition-of-stock-module-5-of-5>

Supplemental Suggested Readings

These materials enable students to achieve a deeper understanding of the course materials and in applying the course to real-life situations.

Julian Velasco, The Fundamental Rights of a Shareholder

https://lawreview.law.ucdavis.edu/issues/40/2/articles/davisvol40no2_velasco.pdf

Gallagher, Light and Zabloutney, Tax on corporate transactions in United States: overview

[https://content.next.westlaw.com/1-502-1290? lrTS=20210410121422799](https://content.next.westlaw.com/1-502-1290?lrTS=20210410121422799)

A Guide for Transferring Stock

[http://media.corporate-ir.net/media files/irol/10/106374/transfer brochure.pdf](http://media.corporate-ir.net/media_files/irol/10/106374/transfer_brochure.pdf)